

Factual Information

FI No.	Date	File Type	Factual Information includes, but is not limited to, the following:	File Name	Allegations Related to FI	
001	November 19, 2018	Interview transcript	Johnson's acknowledgement of Gassnola being around men's basketball program multiple times a season.	TJohnson_TR_111918_NorthCarolinaSt_00935	1	https://ncaaenforcement.app.box.com/file/484983330487
002	January 9, 2019	Interview transcript	Lutz's acknowledgement of Gassnola being on-campus during November 2015 and being introduced to him by Early with Gottfried present. Additionally, Lutz's detail of Smith Jr.'s recruitment and Farmer's involvement.	BLutz_TR_010919_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484987248326
003	January 10, 2019	Interview transcript	Whittenburg's confirmation of Farmer being around the basketball program once Smith Jr. becomes a student-athlete. Additionally, Whittenburg's acknowledgement of Gassnola being on-campus at least one time.	DWhittenburg_TR_011019_NorthCarolinaSt_00935	1	https://ncaaenforcement.app.box.com/file/484994252944
004	January 10, 2019	Interview transcript	Winter's detail of general operations regarding the men's basketball office and Smith Sr. and acknowledgement that Farmer frequently attending practices while Smith Jr. was a student-athlete.	DWinters_TR_011019_NorthCarolinaSt_00935	1, 3	https://ncaaenforcement.app.box.com/file/484981515470
005	January 10, 2019	Interview transcript	Burge's detail of how complimentary admissions were handled in the men's basketball program and her interactions with Farmer.	MBurge_TR_011019_NorthCarolinaSt_00935	1-(d), 1-(e), 2, 3	https://ncaaenforcement.app.box.com/file/484982019331
006	January 10, 2019	Interview transcript	Boyer's detail of institutional oversight of the men's basketball program as sport supervisor, Gottfried's desire to hire Harrick as an assistant upon his arrival and how much of a game changing prospect Smith Jr. was and his detail of Farmer's involvement with Smith Jr.	CBoyer_TR_011019_NorthCarolinaSt_00935	1, 2, 3	https://ncaaenforcement.app.box.com/file/484987166485
007	January 10, 2019	Interview transcript	Doyle's detail of institutional compliance efforts. Additionally, Doyle's acknowledgement that the compliance office became aware of Farmer in fall 2015 prior to Smith Jr.'s enrollment.	CDoyle_TR_011019_NorthCarolinaSt_00935	1-(d), 1-(e), 2, 3, 4	https://ncaaenforcement.app.box.com/file/484987323043
008	January 10, 2019	Interview transcript	Shults' detail of compliance oversight of the men's basketball program. Additionally, Shults's acknowledgement that he spot checked home complimentary admissions on a one game per month basis.	SShults_TR_011019_NorthCarolinaSt_00935	1-(d), 1-(e), 2, 4	https://ncaaenforcement.app.box.com/file/484993487220
009	January 14, 2019	Interview transcript	Coleman's detail of his role with Adidas and confirmation of details from Gassnola's testimony and exhibits related to meeting with Smith family, representatives and members of the institution's men's basketball staff and Farmer's involvement with Smith Jr.'s recruitment.	AColeman_TR_011419_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484986941229
010	February 14, 2019	Interview transcript	Ford's detail of compliance oversight of the men's basketball program. Additionally, Ford's acknowledgement that she did not review the men's basketball office pass list often and focused on the player pass list.	MFord_TR_021419_NorthCarolinaSt_00935	1-(d), 1-(e), 2, 4	https://ncaaenforcement.app.box.com/file/484981997515
011	May 2, 2019	Interview transcript	Harrick's acknowledgement that he accompanied Gottfried to watch Smith Jr. play while he was a prospect.	JHarrick_TR_050219_NorthCarolinaSt_00935	1-(b)	https://ncaaenforcement.app.box.com/file/484981982669
012	May 8, 2019	Interview transcript	Dunlap's detail of how complimentary admissions were handled	JDunlap_TR_050819_NorthCarolinaSt_00935	1, 2, 3	https://ncaaenforcement.app.box.com/file/484987445837
013	May 8, 2019	Interview transcript	Gottfried's detail of Smith Jr.'s recruitment and his oversight of his direct or indirect reports. Additionally, Gottfried's failure to recall any of his conversations with outside individuals regarding Smith Jr.'s recruitment.	MGottfried_TR_050819_NorthCarolinaSt_00935	1, 2, 3	https://ncaaenforcement.app.box.com/file/484981965300
014	June 13, 2019	Interview transcript	Ford's acknowledgement of her spot checks of the men's basketball office pass lists. Additionally, her acknowledgement that providing AAU coaches or individuals associated with prospects and Smith Jr.'s family members complimentary admissions on the men's basketball office pass list would be impermissible.	MFord_TR_061319_NorthCarolinaSt_00935	1-(d), 1-(e), 2, 4	https://ncaaenforcement.app.box.com/file/484990604800
015	June 21, 2019	Interview transcript	Doyle's detail of monitoring efforts on the complimentary admissions lists and acknowledgement that Ford would be the best source for first hand knowledge on what was being monitored. Additionally, Doyle's acknowledgement that compliance did not run the men's basketball office pass list through its enhanced monitoring protocol.	CDoyle_TR_062119_NorthCarolinaSt_00935	1-(d), 1-(e), 2, 4	https://ncaaenforcement.app.box.com/file/484982105962
016	July 1, 2019	Contributing document	Timeline from 2014 through 2017 detailing recruiting activity associated with Smith Jr., phone calls between Gottfried and Early and pertinent individuals to Smith Jr.'s recruitment and impermissible complimentary admissions provided to Smith Jr. and Farmer.	SmithTimeline_070119_NorthCarolinaSt_00935	1, 3, 4	https://ncaaenforcement.app.box.com/file/484992304602

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017	May 7, 2018	Contributing document	Superseding indictment in <i>Gatto, et al.</i> court case detailing allegations of conspiracy to commit wire fraud as it pertained to the institution.	Gatto17Cr686_SupersedingIndictment_050718_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987276681
018	October 2, 2018	Contributing document	Opening statements in <i>Gatto, et al.</i> trial where Gatto's attorney acknowledges that NCAA rules were broken and the Gatto and Adidas helped out financially a few families whose sons were among the most talented athletes in America.	Gatto17Cr686_OpeningStatements_100218_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484981821813
019	October 15, 2018	Contributing document	Gassnola's testimony in <i>Gatto, et al.</i> court case where Gassnola admits to providing Early with \$40,000 in case in November 2015 to provide to Farmer to help secure the commitment of Smith Jr.	Gatto17Cr686_TGassnolaTestimony_101519_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484982868967
020	October 10, 2018	Contributing document	Doyle's testimony in <i>Gatto, et al.</i> court case detailing general compliance office procedures and protocols that the institution undertakes to verify a prospect's and student-athlete's eligibility.	Gatto17Cr686_CDoyleTestimony_101018_NorthCarolinaSt_00935	1, 3	https://ncaaenforcement.app.box.com/file/484990358964
021	October 18, 2018	Contributing document	Closing statement from Gatto's attorney in <i>Gatto, et al.</i> trial where Gatto's attorney acknowledges that Gassnola and Gatto were trying to make sure that NC State can compete with promises being made by others to Smith Jr. so he wouldn't go to a different institution.	Gatto17Cr686_Transcript_101818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484992866488
022	November 28, 2018	Contributing document	<i>Gatto, et al.</i> trial exhibit of Gassnola email detailing Gassnola's contacts and recruiting efforts for Adidas from October 2014 through February 2015	Gatto17Cr686_GovtExhibit1096_112818_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484981529853
023	November 28, 2018	Contributing document	<i>Gatto, et al.</i> trial exhibit of Gassnola's fiance's credit card statements detailing flight purchased between Hartford, Connecticut and Raleigh, North Carolina and corresponding car rental November 2, 2015.	Gatto17Cr686_GovtExhibit309A_112818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987384395
024	November 28, 2018	Contributing document	<i>Gatto, et al.</i> trial exhibit of July 31, 2017, email from Gatto to Smith Sr. thanking him for everything even though Adidas was not able to sign Smith Jr.	Gatto17Cr686_DefExhibit1016_112818_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484982010838
025	November 28, 2018	Contributing document	<i>Gatto, et al.</i> trial exhibit of July 28, 2017, text message conversation between Gatto and Smith Sr., where Smith Sr. thanks Gatto for everything he has done for the strong relationship they have built.	Gatto17Cr686_DefExhibit218_112818_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484991132356
026	November 28, 2018	Contributing document	<i>Gatto, et al.</i> trial exhibit of Gassnola's Berkshire Bank account detailing Gassnola's October 30, 2015, withdrawal of \$40,000 and the subsequent November 12, 2015, Adidas deposit of \$30,000.	Gatto17Cr686_GovtExhibit306D1_112818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484991597584
027	November 28, 2018	Contributing document	Adidas reimbursement request and invoice for Gassnola approved by Gatto related to the November 2015 payment.	Gatto17Cr686_GovtExhibit1116_112818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484990502533
028	November 28, 2018	Contributing document	Adidas reimbursement request and invoice for Gassnola's consultant fee and travel expenses for October 2015.	Gatto17Cr686_GovtExhibit1118_112818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987314994
029	February 12, 2019	Contributing document	<i>Gatto, et al.</i> redacted sentencing submission of Gatto acknowledging his assistance in facilitating a payment to assist in the recruitment of Smith Jr. for NC State.	Gatto17Cr686_GattoSentencingSubmission_021219_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987447339
030	February 26, 2019	Contributing document	Government's sentencing submission in the <i>Gatto, et al.</i> trial acknowledging Gatto's conviction for his repetitive role in orchestrating a scheme to make large cash payments to the families of student-athletes who were seeking athletic scholarships to have them commit to Adidas-sponsored institutions.	Gatto17Cr686_GovtSentencingSubmission_022619_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987387654
031	March 30, 2018	Contributing document	Sealed Information from Gassnola's criminal case detailing general charges brought against Gassnola. Specifically, that Gassnola and others participated in a scheme to defraud certain universities by making, agreeing to make, and concealing payments to the families of high school student-athletes in connection with the student-athletes' commitment to play basketball for those universities.	Gassnola18Cr252_SealedInformation_033018_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987409312

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032	March 30, 2018	Contributing document	Gassnola's affirmation and guilty plea in his criminal case acknowledging his participation in a scheme to defraud certain universities by making, agreeing to make and concealing payments to families of high school student-athletes in connection with the student-athletes' commitment to play basketball for those universities.	Gassnola18Cr252_SealedAffirmation_033018_NorthCarolinaSt_00935	1-(c), 3	
033	June 20, 2019	Contributing document	Listing of phone numbers to relevant individuals to the investigation.	PhoneNumbersListing_062019_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484984094659
034	February 23, 2018	Contributing document	Yahoo! Sports article detailing documents that illustrate potential loans and money totaling \$73,500 provided to Smith Jr. from ASM Sports.	Yahoo!NCAAHoopsCorruptionCaseDocsListPlayers_022318_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484987309294
035	June 20, 2019	Records request response	Spreadsheet filtered from NCSU_NCAA-0061944 showing the 2014-15 and 2015-16 telephone calls between the men's basketball staff, Farmer, Smith Jr., and Smith Sr.	MBBPhoneRecordstoDSmithJrDSmithSrFarmer_FY14-15_&_FY15-16_062019_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987266076
036	June 20, 2019	Records request response	Spreadsheets filtered from NCSU_NCAA-0062633 detailing September 2015 text messages from the institutional cell phones of Gottfried and Early to/from Gassnola, Farmer, Smith Jr. and Smith Sr.	September2015TextMessages_MGottfriedOEarly_TGassnolaDSmithJrDSmithSrFarmer_062019_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484981941559
037	June 20, 2019	Records request response	Spreadsheet filtered from NCSU_NCAA-0062634 detailing October 2015 text messages from the institutional cell phones of Gottfried and Early to/from Gassnola, Smith and Fox.	October2015TextMessages_MGottfriedOEarly_TGassnolaDSmithJrMFox_062019_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484993165551
038	June 20, 2019	Records request response	Spreadsheet filtered from NCSU_NCAA-0062635 detailing November 2015 text messages from the institutional cell phones of Gottfried and Early to/from Gassnola, Smith Jr. and Smith, Sr.	November2015TextMessages_MGottfriedOEarly_TGassnolaDSmithJrDSmithSr_062019_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484981425391
039	August 27, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for August 2014.	August2014MBBVerizonPhoneRecords_082718_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987473739
040	August 27, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for September 2014.	September2014MBBVerizonPhoneRecords_082718_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987403044
041	August 27, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for October 2014.	October2014MBBVerizonPhoneRecords_082718_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987086115
042	June 27, 2019	Contributing document	Men's basketball staff's institutional Verizon telephone records for November 2014.	November2014MBBVerizonPhoneRecords_062719_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484992190683
043	November 9, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for December 2014.	December2014MBBVerizonPhoneRecords_110918_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484984538713
044	August 28, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for January 2015.	January2015MBBVerizonPhoneRecords_082818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484981637619
045	August 28, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for February 2015.	February2015MBBVerizonPhoneRecords_082818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987593720
046	August 28, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for March 2015.	March2015MBBVerizonPhoneRecords_082818_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/48499095047
047	August 28, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for April 2015.	April2015MBBVerizonPhoneRecords_082718_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484997482353
048	June 27, 2019	Contributing document	Men's basketball staff's institutional Verizon telephone records for May 2015.	May2015MBBVerizonPhoneRecords_062719_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/485003867104

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049	June 27, 2019	Contributing document	Men's basketball staff's institutional Verizon telephone records for June 2015.	June2015MBBVerizonPhoneRecords_062719_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484994480108
050	June 27, 2019	Contributing document	Men's basketball staff's institutional Verizon telephone records for July 2015.	July2015MBBVerizonPhoneRecords_062719_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484983592484
051	November 30, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for August 2015.	August2015MBBVerizonPhoneRecords_113018_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484981840924
052	November 30, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for September 2015.	September2015MBBVerizonPhoneRecords_113018_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987203956
053	November 30, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for October 2015.	October2015MBBVerizonPhoneRecords_113018_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484990824874
054	November 9, 2018	Contributing document	Men's basketball staff's institutional Verizon telephone records for November 2015	November2015MBBVerizonPhoneRecords_110918_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484984502897
055	May 23, 2019	Records request response	Filterable spreadsheet workbook showing all institutional Verizon telephone records of Gottfried and Early from August through November 2015.	AugusttoNovember2015PhoneRecords_MGOF_052319_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987725833
056	June 23, 2019	Records request response	Spreadsheet workbook showing institutional Verizon telephone records of Gottfried and Early from August through November 2015 to/from each other and all pertinent individuals tied to the alleged \$40,000 payment to the Smith family.	AugtoNov2015PhoneRecords_MGottfriedOEarlyPertinentIndividuals_062319_NorthCarolinaSt_00935	1-(c), 3	
057	June 23, 2019	Records request response	Spreadsheet workbook showing institutional Verizon telephone records of Gottfried and Early from August through November 2015 to/from each other and Farmer.	AugtoNov2015PhoneRecords_MGottfriedOEarlySFarmer_062319_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/485002061600
058	June 23, 2019	Records request response	Spreadsheet workbook showing institutional Verizon telephone records of Gottfried and Early from August through November 2015 to/from each other and Gassnola.	AugtoNov2015PhoneRecords_MGottfriedOEarlyTGassnola_062319_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987478923
059	June 23, 2019	Records request response	Spreadsheet workbook showing institutional Verizon telephone records of Gottfried and Early from August through November 2015 to/from each other and Gatto.	AugtoNov2015PhoneRecords_MGottfriedOEarlyJGatto_062319_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484987343019
060	June 23, 2019	Records request response	Spreadsheet workbook showing institutional Verizon telephone records of Gottfried and Early from August through November 2015 to/from each other and Fox.	AugtoNov2015PhoneRecords_MGottfriedOEarlyMFox_062319_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484981606366
061	June 23, 2019	Records request response	Spreadsheet workbook showing institutional Verizon telephone records of Gottfried and Early from August through November 2015 to/from each other and the Smith family.	AugtoNov2015PhoneRecords_MGottfriedOEarlySmithFamily_062319_NorthCarolinaSt_00935	1-(c), 3	https://ncaaenforcement.app.box.com/file/484981454191
062	February 13, 2019	Records request response	Folder containing all 2015-16 men's basketball complimentary ticket lists.	2015-2016 Comp Ticket Lists	1-(d), 2, 3, 4	
063	February 13, 2019	Records request response	Folder containing all 2016-17 men's basketball complimentary ticket lists.	2016-2017 Comp Ticket Lists	1-(d), 1-(e), 3, 4	
064	June 21, 2019	Records request response	Institutional spreadsheet detailing the value of a ticket for each of the men's basketball contests for the 2015-16 and 2016-17 academic years.	TicketValuesperYear_062119_NorthCarolinaSt_00935	1-(d), 1-(e), 2, 4	https://ncaaenforcement.app.box.com/file/485003984652
065	June 25, 2019	Contributing document	Chart depicting the number of impermissible complimentary admissions Farmer received by date and contest.	SFarmerCompTicketChart_062519_NorthCarolinaSt_00935	1-(d), 3, 4	https://ncaaenforcement.app.box.com/file/484991683984

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066	June 25, 2019	Contributing document	Chart depicting the number of impermissible complimentary admissions the Smith family and friends received by date and contest.	SmithFamilyCompTicketChart_062519_NorthCarolinaSt_00935	1-(e), 3, 4	https://ncaaenforcement.app.box.com/file/484991685184
067	June 25, 2019	Contributing document	Chart depicting the number of impermissible complimentary admissions Bland received by date and contest.	SBLandCompTicketChart_062519_NorthCarolinaSt_00935	2-(a), 3, 4	https://ncaaenforcement.app.box.com/file/484990195165
068	June 21, 2019	Communication	Email from institution's outside counsel indicating the value for football gameday parking in the PNC Arena loading dock.	Jmontgomery_Email_SMcDonald_TicketandParkingValues_062119_NorthCarolinaSt_00935	1-(a)	https://ncaaenforcement.app.box.com/file/484987193476
069	October 1, 2014	Records request response	October 1, 2014, email chain between Burge and Winters detailing Gottfried taking Harrick with him to watch Smith Jr.	Mburge_Email_Dwinters_HarrickonTriptoFayetteville_100114_NorthCarolinaSt_00935	1-(b)	https://ncaaenforcement.app.box.com/file/484981606431
070	October 13, 2014	Records request response	Contact and evaluation log for late September 2014 showing Gottfried's evaluation of Smith Jr. on September 29, 2014.	ContactandEvaluationLog_101314_NorthCarolinaSt_00935	1-(b)	https://ncaaenforcement.app.box.com/file/484981949348
071	September 23, 2014	Records request response	September 23, 2014, email chain between Dunlap and Douglas arranging for VIP parking for Smith Jr. and three other prospects in the PNC Arena loading dock.	JDunlapLoadingDockEmail_092314_NorthCarolinaSt_00935	1-(a)	https://ncaaenforcement.app.box.com/file/484993911903
072	October 14, 2014	Records request response	Smith Jr.'s unofficial visit form for his September 27, 2014, unofficial visit to attend the institution's football contest against Florida State University.	DSmithJrUnofficialVisitForm_101415_NorthCarolinaSt_00935	1-(a)	https://ncaaenforcement.app.box.com/file/484987225179
073	September 22, 2014	Records request response	Burge email to institution's ticket office requesting tickets and Section 7/8 wrist bands for Smith Jr. for the September 27, 2014, football contest against Florida State.	Mburge_Email_Bkelly_RecruitListFSUGame_092214_NorthCarolinaSt_00935	1-(a)	https://ncaaenforcement.app.box.com/file/484987491173
074	September 27, 2014	Records request response	Recruit pass list for the institution's September 27, 2014, football contest against Florida State [REDACTED]	NCSUvFSUREcruitPassList_092714_NorthCarolinaSt_00935	1-(a)	https://ncaaenforcement.app.box.com/file/484987504752
075	September 27, 2014	Records request response	Early's phone records from September 27, 2014, detailing calls with Smith Jr., Smith Sr. and Gloria Smith.	OEarlyPhoneRecords_092714_NorthCarolinaSt_00935	1-(a)	
076	October 18, 1999	Contributing document	Division I Management Council Report accepting the September 9-10 Academics/Eligibility/Compliance Cabinet's meeting report clarifying through interpretation that the provisions of Constitution 6.4.1 are applicable to corporate entities and other organizations (e.g., apparel and equipment companies) and directing the Legislative Review and Interpretations Subcommittee to incorporate the interpretation into the corresponding legislation.	DivisionIManagementCouncilReport_101899_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/48498898068
077	October 19, 1999	Contributing document	Official interpretation detailing that NCAA legislation regarding representatives of an institution's athletics interests is applicable to corporate entities (e.g., apparel and equipment companies).	Interp_CorporateEntitiesRIAs_101999_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484990367307
078	October 9, 2013	Contributing document	Staff interpretation confirming that a student-athlete may provide complimentary admissions to an individual associated with that student as long as the complimentary admissions are not provided at the direction of an institutional staff member.	Interp_ComplimentaryAdmissionsIAWP_100913_NorthCarolinaSt_00935	1-(d), 2, 3, 4	https://ncaaenforcement.app.box.com/file/484987319970
079	December 9, 1992	Contributing document	Staff interpretation confirming that a friend of a coach may ride with that coach in conjunction with the coach's recruiting trip if the institution has authorized such activity, but that the individual would not be permitted to attend the contest.	Interp_CoachFriendsViewingPSACompetition_120992_NorthCarolinaSt_00935	1-(b)	https://ncaaenforcement.app.box.com/file/484988030248
080	June 17, 2019	Contributing document	2016-17 men's basketball schedule	2016-17Men'sBasketballSchedule_061719_NorthCarolinaSt_00935	1	https://ncaaenforcement.app.box.com/file/484981953239
081	June 17, 2019	Contributing document	Smith Jr.'s playing statistics from the 2016-17 men's basketball season.	2016-17DennisSmithJrStats_061719_NorthCarolinaSt_00935	1	https://ncaaenforcement.app.box.com/file/484989727533

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082	June 17, 2019	Ineligible competition chart	Student-athlete competition while ineligible chart detailing Smith Jr.'s ineligible participation.	SACWIChart_061719_NorthCarolinaSt_00935	1	https://ncaaenforcement.app.box.com/file/484987482571
083	November 11, 2015	Records request response	Smith Jr.'s signed NLI	DSmithNLI_111115_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484992115846
084	July 15, 2018	Records request response	Smith Jr.'s financial aid file from the institution's office of financial aid.	DSmithFinancialAidFile_013118_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484991605121
085	January 5, 2016	Records request response	Email from Early to Rhonda Smith [REDACTED]	Oearly_Email_RSmith1263@aol.com_LowIncomeExplanation_010516_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484990985771
086	January 5, 2016	Records request response	Email from Smith Sr. to the financial aid office [REDACTED]	rsmith1263_Email_Kdomnick_PublicHousingandSNAPPProgram_010516_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484981965622
087	April 26, 2016	Records request response	Petersen International Underwriters loss of value insurance invoice for Smith Sr. detailing his address as 526 Hilliard Drive, Fayetteville, North Carolina 28311.	PetersenUnderwriterInvoice_042616_NorthCarolinaSt_00935	1-(c)	
088	June 25, 2019	Contributing document	2016 Cumberland County property tax records for 526 Hilliard Drive, Fayetteville, North Carolina 28311.	CumberlandCountyTaxRecords2016_526Hilliard_062519_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484991267528
089	May 6, 2019	Contributing document	Doyle memo detailing her speaking with Smith Jr. in spring 2019 where Smith Jr. denies ever receiving cash from anyone at the institution or Farmer.	Memo_Dsmith_050619_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484982859009
090	August 2, 2016	Records request response	Early email to Norlander acknowledging his belief that shoe companies have become more involved in the recruiting process for top tier prospects.	Oearly_Email_Mnorlander_CBSCoachesQuestions_080216_NorthCarolinaSt_00935	1-(c)	https://ncaaenforcement.app.box.com/file/484987516389
091	July 15, 2018	Records request response	Institution's ARMS database profile of Farmer designating him as a women's basketball AAU coach.	SFarmerARMSProfile_071518_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484987591155
092	August 8, 2013	Contributing document	Screenshot from shawnfarmerbasketball.com website detailing Farmer's training services.	Screenshot_ShawnFarmerBasketballWebsite_ARoadLessTraveled_080813_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484988018526
093	February 20, 2016	Contributing document	Screenshot from shawnfarmerbasketball.com website detailing Farmer's training services.	Screenshot_ShawnFarmerBasketballWebsite_Main Page_022016_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484987298114
094	June 28, 2016	Contributing document	Screenshot from shawnfarmerbasketball.com website where Farmer is touting Smith Jr. (@Jhooper_3) as the best point guard in the country.	Screenshot_ShawnFarmerBasketballWebsite_MainMenu_062816_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484987672386
095	December 30, 2014	Records request response	Prospective student-athlete pass list for home men's basketball contest against Cincinnati December 30, 2014, detailing Farmer attending the game as a guest of Smith Jr.	MBBvCincinnatiPassLists(2)_123014_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484982105731
096	April 11, 2017	Records request response	Email chain regarding Farmer training Smith where Yow acknowledges that Farmer trained Smith Jr. before he came to the institution.	Dyow_Email_Cdoyle_ShawnFarmerTraining_041117_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484991834615
097	November 17, 2015	Records request response	Institution's approved and completed ARMS official visit form detailing Smith Jr.'s official visit from October 30 through November 1, 2015.	OfficialVisitFormARMS_Dsmith_111715_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484982727241
098	October 31, 2015	Records request response	Institution's prospective student-athlete complimentary ticket request form for the home football contest against Clemson University that took place during Smith Jr.'s official visit detailing Smith Jr. and Farmer were attending.	OfficialVisitPassListClemsonFB_103115_NorthCarolinaSt_00935	1-(c), 1-(d), 3	https://ncaaenforcement.app.box.com/file/484984031383

Factual Information

FI No.	Date	File Type	Factual Information includes, but is not limited to, the following:	File Name	Allegations Related to FI	
099	June 24, 2019	Contributing document	Contact listing of enforcement staff's outreach efforts trying to obtain interviews with individuals.	ContactLog_110618_NorthCarolinaSt_00935	1	https://ncaaenforcement.app.box.com/file/484984127301
100	June 17, 2019	Communication	Enforcement staff's refusal to cooperate letter attempting to schedule an interview with Gassnola	Register_Letter_Dkelley_TGassnolaRefusalCooperate_061719_Kansas_00874	1-(c)	https://ncaaenforcement.app.box.com/file/484987803502
101	June 17, 2019	Communication	Enforcement staff's refusal to cooperate letter attempting to schedule an interview with Gatto	Register_Letter_Mschachter_JGattoRefusalCooperate_061719_Kansas_00874	1-(c)	https://ncaaenforcement.app.box.com/file/484991279528
102	April 3, 2019	Communication	Enforcement staff's final contact for interview letter sent via UPS attempting to secure an interview with Early.	SMcDonald_Letter_Oearly_FinalContactForInterview2UPS_040319_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), Post-Separation (PF) 1	https://ncaaenforcement.app.box.com/file/484997615864
103	April 4, 2019	Communication	UPS delivery notification of the enforcement staff's April 3, 2019, final contact for interview letter signed for by Early.	UPSShippingConfirmation_Oearly_040419_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	https://ncaaenforcement.app.box.com/file/484987607837
104	April 3, 2019	Communication	Enforcement staff final contact for interview email attempting to secure an interview with Early.	SMcDonald_Letter_Oearly_FinalContactForInterview2Email_040319_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	https://ncaaenforcement.app.box.com/file/485002148000
105	April 3, 2019	Communication	File share confirmation for the enforcement staff's April 3, 2019, final contact for interview email to Early.	FileShare_FinalContactOEarly_040319_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	https://ncaaenforcement.app.box.com/file/484982065210
106	February 26, 2019	Communication	UPS delivery notification of the enforcement staff's February 25, 2019, request for interview letter sent and signed for by Early.	OEarlyLetterUPS_022619_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	https://ncaaenforcement.app.box.com/file/484987363285
107	February 25, 2019	Communication	Enforcement staff's final contact for interview letter sent via UPS attempting to secure an interview with Early.	SMcDonald_Letter_Oearly_FinalContactFor Interview_022519_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	https://ncaaenforcement.app.box.com/file/484981815980
108	February 13, 2019	Communication	Enforcement staff's final contact for interview letter sent via email attempting to secure an interview with Early.	SMcDonald_Letter_Oearly_FinalContactforInterview_021319_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	
109	February 13, 2019	Communication	File share confirmation for the enforcement staff's February 13, 2019, final contact for interview email to Early.	FileShare_FinalContactOEarly_021319_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	https://ncaaenforcement.app.box.com/file/484991862215
110	January 25, 2019	Communication	Enforcement staff's initial written request via email for an interview with Early.	SMcDonald_Email_OrlandoEarly1967_InterviewRequest_012519_NorthCarolinaSt_00935	1-(c), 1-(d), 1-(e), PS 1	https://ncaaenforcement.app.box.com/file/484982692563
111	July 2, 2019	Communication	Written statement by Yow detailing the culture of compliance she and her staff tried to put in place at the institution.	DYowStatement_070219_NorthCarolinaSt_00935	1, 2, 3, 4	